



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Niel F. Starksen  Application No.: 10/656,797  Filed: September 4, 2003  Title: Devices and Methods for Cardiac Annulus Stabilization and Treatment	Attorney Docket No.: GDS 1013-1 (formerly 016886-001300)  Examiner: Unassigned  Group: 3732  Customer Number: 22470
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56.

Enclosed with this statement is a Form PTO/SB/08A. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. 609.

Also enclosed with this statement is a copy of each cited document as required by 37 C.F.R. 1.98. However, since this application was filed after June 30, 2003, copies of U. S. Patents and U. S. Patent Application Publications are not enclosed. (See O. G. Notice of August 5, 2003.)

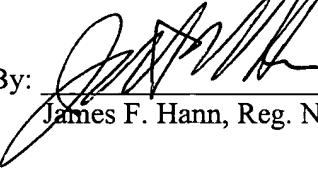
This statement should be considered under 37 C.F.R. 1.97(b) because it is being filed before the mailing date of the first Office Action on the merits.

Please note **claim 90** of Reference A16, U.S. Patent No. **6,629,534**, Figs. 78-82 and col. 39, line 41-col. 40, line 18.

***Fee Authorization.*** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (GDS 1013-1). A duplicate copy of this authorization is enclosed.

Respectfully submitted,  
Haynes Beffel & Wolfeld LLP

By:

  
James F. Hann, Reg. No. 29,719

Date: 18 November 2004

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JFH:pfh

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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### Complete if Known

Application Number	10/656,797
Filing Date	September 4, 2003
First Named Inventor	Niel F. Starksen
Group Art Unit	3732
Examiner Name	Unassigned
Attorney Docket Number	GDS 1013-1

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A1	5,522,873			Jackman et al.	06-04-1996	
A2	5,716,370			Williamson, IV et al.	02-10-1998	
A3	5,769,812			Stevens et al.	06-23-1998	
A4	5,868,733			Ockuly et al.	02-09-1999	
A5	5,885,238			Stevens et al.	03-23-1999	
A6	5,888,240			Carpentier et al.	03-30-1999	
A7	6,074,401			Gardiner et al.	06-13-2000	
A8	6,149,658			Gardiner et al.	11-21-2000	
A9	6,514,265			Ho et al. .	02-04-2003	
A10	6,551,332			Nguyen et al.	04-22-2003	
A11	6,602,288			Cosgrove et al.	08-05-2003	
A12	6,607,541			Gardiner et al.	08-19-2003	
A13	6,613,059			Schaller et al.	09-02-2003	
A14	6,619,291			Hlavka et al.	09-16-2003	
A15	6,626,899			Houser et al.	09-30-2003	
A16	6,629,534			St. Goar et al.	10-07-2003	Figs 78-82; 39/41-40/18
A17	6,641,593			Schaller et al.	11-04-2003	
A18	6,702,826			Liddicoat et al.	03-09-2004	
A19	6,718,985			Hlavka et al.	04-13-2004	
A20	2002/0087169			Brock et al.	07-04-2002	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
B1	WO	94/03227			C. Stafanadis	02-17-1994		
B2	WO	98/07375			Trustees of Columbia U.	02-26-1998		
B3	WO	02/03892			Paul A. Spence	01-17-2002		
B4								
B5								
B6								
B7								
B8								
B9								
B10								

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449A/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>			
				Application Number		10/656,797	
				Filing Date		September 4, 2003	
				First Named Inventor		Niel F. Starksen	
				Group Art Unit		3732	
Examiner Name		Unassigned					
Sheet	2	of	3	Attorney Docket Number	GDS 1013-1		

<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A21	2002/0095167			Liddicoat et al.	07-18-2002	
A22	2002/0161378			Downing	10-31-2002	
A23	2002/0173841			Ortiz et al.	11-21-2002	
A24	2003/0074012			Nguyen et al.	04-17-2003	
A25	2003/0078603			Schaller et al.	04-24-2003	
A26	2003/0093118			Ho et al.	05-15-2003	
A27	2003/0130731			Vidlund et al.	07-10-2003	
A28	2003/0199974			Lee et al.	10-23-2003	
A29	2004/0019378			Hlavka et al.	01-29-2004	
A30						
A31						
A32						
A33						
A34						
A35						
A36						
A37						
A38						
A39						
A40						

<b>FOREIGN PATENT DOCUMENTS</b>								
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	10/656,797
(use as many sheets as necessary)				<b>Filing Date</b>	September 4, 2003
				<b>First Named Inventor</b>	Niel F. Starksen
				<b>Group Art Unit</b>	3732
				<b>Examiner Name</b>	Unassigned
<b>Sheet</b>	3	<b>of</b>	3	<b>Attorney Docket Number</b>	GDS 1013-1

#### **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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